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10/711,002	08/17/2004	Shih-Chang Shei	12278-US-PA	5001
31561 7590 10/09/2007 JIANQ CHYUN INTELLECTUAL PROPERTY OFFICE 7 FLOOR-1, NO. 100 ROOSEVELT ROAD, SECTION 2 TAIPEI, 100 TAIWAN			EXAMINER RAABE, CHRISTOPHER M	
			ART UNIT 2879	PAPER NUMBER
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**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

USA@JCIPGROUP.COM.TW

<b>Office Action Summary</b>	<b>Application No.</b> 10/711,002	<b>Applicant(s)</b> SHEI ET AL.	
	<b>Examiner</b> Christopher M. Raabe	<b>Art Unit</b> 2879	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

#### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

#### Status

- 1) ☒ Responsive to communication(s) filed on 28 June 2007.
- 2a) ☒ This action is **FINAL**.                      2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

#### Disposition of Claims

- 4) ☒ Claim(s) 1-31 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-31 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

#### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

#### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All    b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

#### Attachment(s)

- |  |   |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)                                | 4) <input type="checkbox"/> Interview Summary (PTO-413)<br>Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)                       | 5) <input type="checkbox"/> Notice of Informal Patent Application                       |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)<br>Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____  |

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### DETAILED ACTION

1. Applicant's submission filed June 28, 2007 has been entered and acknowledged by the examiner.

2. Applicant's arguments with respect to the rejections of the claims have been considered but are moot in view of the new ground(s) of rejection.

### ***Claim Rejections - 35 USC § 102***

3. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

4. Claims 1,7-11 are rejected under 35 U.S.C. 102(b) as being anticipated by Ellens et al. (USPN 2003/0052595).

With regard to claim 1,

Ellens et al. disclose in at least paragraphs 25,26 and figures 1,2 a white light LED comprising: an exciting light source (1) for emitting light having a wavelength in a range of about 250nm to about 490 nm; and fluorescent powders (6) disposed around the exciting light source (1) comprising yellow-light fluorescent materials and at least two different fluorescent materials

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selected from a group consisting of red-light fluorescent materials, green-light fluorescent materials, and blue-light fluorescent materials.

With regard to claim 7,

Ellens et al. disclose the white light LED of claim 1, wherein the exciting light source comprises LED chip or laser diode chip.

With regard to claim 8,

Ellens et al. disclose in at least paragraphs 25, 26 and figures 1,2, a white light LED comprising: a susceptor (2,3,8) having a pit (9) in a surface of the susceptor (2,3,8); an exciting light source (1) disposed in the pit (9) of the susceptor (2,3,8), and electrically connected to the susceptor (2,3,8), wherein a light having a wavelength from about 250nm to about 490 nm is emitted from the exciting light source (1), a sealing resin (5), disposed over the susceptor (2,3,8), wherein the light source (1) is covered by the sealing resin (5) to mount the exciting light source (1) over the susceptor (2,3,8), and fluorescent powders (6) disposed around the exciting light source (1) comprising yellow fluorescent materials and at least two different fluorescent materials selected from a group consisting of red fluorescent materials, green fluorescent materials, and blue fluorescent materials.

With regard to claim 9,

Ellens et al. disclose The white light LED of claim 8, further comprising: a plurality of welding wire (14), electrically connected between the exciting light source (1) and the susceptor (2,3,8).

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With regard to claim 10,

Ellens et al. disclose the white light LED of claim 8, wherein the susceptor (2,3,8) comprises a packaging leadframe or a circuit board.

With regard to claim 11,

Ellens et al. disclose the white light LED of claim 8, wherein the exciting light source comprises LED chip or laser diode chip.

5. Claims 2,3,12,13 are rejected under 35 U.S.C. 102(e) as being anticipated by Maeda et al. (USPN 20040245532).

With regard to claim 2,

Maeda et al. disclose in at least figures 2,4, paragraph 76, and paragraphs 81-92 a white light LED comprising an exciting light source (1) for emitting light having a wavelength in a range of about 250nm to about 490nm; and fluorescent powders (3,4,5,6), disposed around the light source (1) comprising yellow (6) fluorescent materials, and at least two different fluorescent materials selected from a group consisting of red (4), green, (5) and blue (3) fluorescent materials, wherein the wavelength of the light is in a range of about 440-490nm, the material of the fluorescent powder includes yellow fluorescent materials selected from a group consisting of  $(\text{Tb}_{3-x-y}\text{Ce}_x\text{Re}_y)\text{Al}_5\text{O}_{12}$ ,  $(\text{Me}_{1-x-y}\text{Eu}_x\text{Re}_y)_3\text{SiO}_5$ ,  $\text{YBO}_3:\text{Ce}^{3+}$ , and red fluorescent materials selected from a group consisting of  $\text{Y}_2\text{O}_3:\text{Eu}^{3+}$ ,  $\text{Y}_2\text{O}_3:\text{Bi}^{3+}$ ,  $(\text{Y,Gd})_2\text{O}_3:\text{Bi}^{3+}$ ,  $\text{Y}_2\text{O}_2\text{S}:\text{Eu}^{3+}$ ,  $\text{Y}_2\text{O}_2\text{S}:\text{Bi}^{3+}$ ,  $(\text{Me}_{1-x}\text{Eu}_x)\text{ReS}$ ,  $6\text{MgO,As}_2\text{O}_5:\text{Mn}$ ,  $\text{Mg}_3\text{SiO}_4:\text{Mn}$ .

With regard to claim 3,

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Maeda et al. disclose in at least figure 2 and paragraph 76 and paragraphs 81-92 a white light LED comprising an exciting light source (1) for emitting light having a wavelength in a range of about 250nm to about 490nm; and fluorescent powders (3,4,5,6), disposed around the light source (1) comprising yellow (6) fluorescent materials, and at least two different fluorescent materials selected from a group consisting of red (4), green, (5) and blue (3) fluorescent materials, wherein the wavelength of the light is in a range of about 250-440nm, the material of the fluorescent powder includes yellow fluorescent materials selected from a group consisting of  $(\text{Tb}_{3-x-y}\text{Ce}_x\text{Re}_y)\text{Al}_5\text{O}_{12}$ ,  $(\text{Me}_{1-x-y}\text{Eu}_x\text{Re}_y)_3\text{SiO}_5$ ,  $\text{YBO}_3:\text{Ce}^{3+}$ , green fluorescent materials selected from a group consisting of  $\text{YBO}_3:\text{Tb}^{3+}$ ,  $\text{SrGa}_2\text{O}_4:\text{Eu}^{2+}$ ,  $\text{SrAl}_2\text{O}_4:\text{Eu}^{2+}$ ,  $(\text{Ba},\text{Sr})\text{MgAl}_{10}\text{O}_{17}:\text{Mn}^{2+}$ , red fluorescent materials selected from a group consisting of  $\text{Y}_2\text{O}_3:\text{Eu}^{3+}$ ,  $\text{Y}_2\text{O}_3:\text{Bi}^{3+}$ ,  $(\text{Y},\text{Gd})_2\text{O}_3:\text{Bi}^{3+}$ ,  $\text{Y}_2\text{O}_2\text{S}:\text{Eu}^{3+}$ ,  $\text{Y}_2\text{O}_2\text{S}:\text{Bi}^{3+}$ ,  $(\text{Me}_{1-x}\text{Eu}_x)\text{ReS}$ ,  $6\text{MgO},\text{As}_2\text{O}_5:\text{Mn}$ ,  $\text{Mg}_3\text{SiO}_4:\text{Mn}$ , and blue fluorescent materials selected from a group consisting of  $\text{BaMgAl}_{10}\text{O}_{17}:\text{Eu}^{2+}$ ,  $(\text{Ca},\text{Sr},\text{Ba})_5(\text{PO}_4)_3\text{Cl}:\text{Eu}^{2+},\text{Gd}^{2+}$ .

With regard to claim 12,

Maeda et al. disclose in at least figures 3,4, paragraph 76, and paragraphs 81-92, a white light LED comprising: a susceptor (11) having a pit (not labeled)) in a surface of the susceptor (1); an exciting light source (1) disposed in the pit of the susceptor (11), and electrically connected (via wires) to the susceptor (11), wherein a light having a wavelength from about 250nm to about 490 nm is emitted from the exciting light source (1), a sealing resin (2), disposed over the susceptor (11), wherein the light source (1) is covered by the sealing resin (2) to mount the exciting light source (1) over the susceptor (11), and fluorescent powders (3,4,5,6) disposed around the exciting light source (1) comprising yellow (6) fluorescent materials and at least two different fluorescent materials selected from a group consisting of red (4) fluorescent materials, green (5) fluorescent materials, and blue (3) fluorescent materials, wherein the

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wavelength of the light is in a range of about 440-490nm, the material of the fluorescent powder includes yellow fluorescent materials selected from a group consisting of  $(\text{Tb}_{3-x-y}\text{Ce}_x\text{Re}_y)\text{Al}_5\text{O}_{12}$ ,  $(\text{Me}_{1-x-y}\text{Eu}_x\text{Re}_y)_3\text{SiO}_5$ ,  $\text{YBO}_3:\text{Ce}^{3+}$ , and red fluorescent materials selected from a group consisting of  $\text{Y}_2\text{O}_3:\text{Eu}^{3+}$ ,  $\text{Y}_2\text{O}_3:\text{Bi}^{3+}$ ,  $(\text{Y,Gd})_2\text{O}_3:\text{Bi}^{3+}$ ,  $\text{Y}_2\text{O}_2\text{S}:\text{Eu}^{3+}$ ,  $\text{Y}_2\text{O}_2\text{S}:\text{Bi}^{3+}$ ,  $(\text{Me}_{1-x}\text{Eu}_x)\text{ReS}$ ,  $6\text{MgO,As}_2\text{O}_5:\text{Mn}$ ,  $\text{Mg}_3\text{SiO}_4:\text{Mn}$ .

With regard to claim 13,

Maeda et al. disclose in at least figure 3, paragraph 76, and paragraphs 81-92, a white light LED comprising: a susceptor (11) having a pit (not labeled)) in a surface of the susceptor (1); an exciting light source (1) disposed in the pit of the susceptor (11), and electrically connected (via wires) to the susceptor (11), wherein a light having a wavelength from about 250nm to about 490 nm is emitted from the exciting light source (1), a sealing resin (2), disposed over the susceptor (11), wherein the light source (1) is covered by the sealing resin (2) to mount the exciting light source (1) over the susceptor (11), and fluorescent powders (3,4,5,6) disposed around the exciting light source (1) comprising yellow (6) fluorescent materials and at least two different fluorescent materials selected from a group consisting of red (4) fluorescent materials, green (5) fluorescent materials, and blue (3) fluorescent materials, wherein the wavelength of the light is in a range of about 250-440nm, the material of the fluorescent powder includes yellow fluorescent materials selected from a group consisting of  $(\text{Tb}_{3-x-y}\text{Ce}_x\text{Re}_y)\text{Al}_5\text{O}_{12}$ ,  $(\text{Me}_{1-x-y}\text{Eu}_x\text{Re}_y)_3\text{SiO}_5$ ,  $\text{YBO}_3:\text{Ce}^{3+}$ , green fluorescent materials selected from a group consisting of  $\text{YBO}_3:\text{Tb}^{3+}$ ,  $\text{SrGa}_2\text{O}_4:\text{Eu}^{2+}$ ,  $\text{SrAl}_2\text{O}_4:\text{Eu}^{2+}$ ,  $(\text{Ba,Sr})\text{MgAl}_{10}\text{O}_{17}:\text{Mn}^{2+}$ , red fluorescent materials selected from a group consisting of  $\text{Y}_2\text{O}_3:\text{Eu}^{3+}$ ,  $\text{Y}_2\text{O}_3:\text{Bi}^{3+}$ ,  $(\text{Y,Gd})_2\text{O}_3:\text{Bi}^{3+}$ ,  $\text{Y}_2\text{O}_2\text{S}:\text{Eu}^{3+}$ ,  $\text{Y}_2\text{O}_2\text{S}:\text{Bi}^{3+}$ ,  $(\text{Me}_{1-x}\text{Eu}_x)\text{ReS}$ ,  $6\text{MgO,As}_2\text{O}_5:\text{Mn}$ ,  $\text{Mg}_3\text{SiO}_4:\text{Mn}$ , and blue fluorescent materials selected from a group consisting of  $\text{BaMgAl}_{10}\text{O}_{17}:\text{Eu}^{2+}$ ,  $(\text{Ca,Sr,Ba})_5(\text{PO}_4)_3\text{Cl}:\text{Eu}^{2+},\text{Gd}^{2+}$ .



***Claim Rejections - 35 USC § 103***

6. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).

7. Claims 17-19,23-25,28-30 are rejected under 35 U.S.C. 103(a) as being unpatentable over Maeda et al. (as above), in view of Sakano et al. (USPN 2003/0080341).

With regard to claim 17,

Maeda et al. disclose in at least figures 3,4 and paragraph 76, a white LED, at least comprising a LED chip (1) for emitting a light having a wavelength in a range of 250nm-490 nm, and fluorescent powders (3,4,5,6), disposed around the exciting light source (1), comprising yellow (6) fluorescent materials and at least two different fluorescent materials selected from a group consisting of red (4), green (5), and blue (3) fluorescent materials.



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Maeda et al. do not disclose the specific structure of the LED chip. However, an LED chip of the type disclosed by Sakano et al. in paragraphs 91-96 having a substrate, nucleation layer disposed over the substrate, a conductive buffer layer disposed over the nucleation layer, a first confinement layer disposed over the buffer layer, wherein a type of a doping material of the first confinement layer and that of the buffer layer are the same; a light emitting layer comprising doped III-IV compound semiconductor material disposed over the first confinement layer; a second confinement layer disposed over the light emitting layer having a doping material different than that of the first confinement layer; a contact layer disposed over the second confinement layer and having a superlattice structure material layer, an anode electrode disposed over the contact layer, a cathode electrode connected to the buffer layer and isolated from the first and second confinement layers, the light emitting layer, the contact layer and the anode electrode, was well known to those of ordinary skill in the art at the time of the invention to provide an effective excitation source for the phosphors and would thus have been obvious to incorporate into the LED of Maeda et al.

With regard to claim 18,

Maeda et al. disclose additionally in paragraphs 81-92 the white LED of claim 17; wherein the wavelength of the light is in a range of about 440-490nm, the material of the fluorescent powder includes yellow fluorescent materials selected from a group consisting of  $(\text{Tb}_{3-x-y}\text{Ce}_x\text{Re}_y)\text{Al}_5\text{O}_{12}$ ,  $(\text{Me}_{1-x-y}\text{Eu}_x\text{Re}_y)_3\text{SiO}_5$ ,  $\text{YBO}_3:\text{Ce}^{3+}$ , and red fluorescent materials selected from a group consisting of  $\text{Y}_2\text{O}_3:\text{Eu}^{3+}$ ,  $\text{Y}_2\text{O}_3:\text{Bi}^{3+}$ ,  $(\text{Y},\text{Gd})_2\text{O}_3:\text{Bi}^{3+}$ ,  $\text{Y}_2\text{O}_2\text{S}:\text{Eu}^{3+}$ ,  $\text{Y}_2\text{O}_2\text{S}:\text{Bi}^{3+}$ ,  $(\text{Me}_{1-x}\text{Eu}_x)\text{ReS}$ ,  $6\text{MgO},\text{As}_2\text{O}_5:\text{Mn}$ ,  $\text{Mg}_3\text{SiO}_4:\text{Mn}$ .

With regard to claim 19,

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Maeda et al. disclose additionally in paragraphs 81-92 the white LED of claim 17, wherein the wavelength of the light is in a range of about 250-440nm, the material of the fluorescent powder includes yellow fluorescent materials selected from a group consisting of  $(\text{Tb}_{3-x-y}\text{Ce}_x\text{Re}_y)\text{Al}_5\text{O}_{12}$ ,  $(\text{Me}_{1-x-y}\text{Eu}_x\text{Re}_y)_3\text{SiO}_5$ ,  $\text{YBO}_3:\text{Ce}^{3+}$ , green fluorescent materials selected from a group consisting of  $\text{YBO}_3:\text{Tb}^{3+}$ ,  $\text{SrGa}_2\text{O}_4:\text{Eu}^{2+}$ ,  $\text{SrAl}_2\text{O}_4:\text{Eu}^{2+}$ ,  $(\text{Ba,Sr})\text{MgAl}_{10}\text{O}_{17}:\text{Mn}^{2+}$ , red fluorescent materials selected from a group consisting of  $\text{Y}_2\text{O}_3:\text{Eu}^{3+}$ ,  $\text{Y}_2\text{O}_3:\text{Bi}^{3+}$ ,  $(\text{Y,Gd})_2\text{O}_3:\text{Bi}^{3+}$ ,  $\text{Y}_2\text{O}_2\text{S}:\text{Eu}^{3+}$ ,  $\text{Y}_2\text{O}_2\text{S}:\text{Bi}^{3+}$ ,  $(\text{Me}_{1-x}\text{Eu}_x)\text{ReS}$ ,  $6\text{MgO,As}_2\text{O}_5:\text{Mn}$ ,  $\text{Mg}_3\text{SiO}_4:\text{Mn}$ , and blue fluorescent materials selected from a group consisting of  $\text{BaMgAl}_{10}\text{O}_{17}:\text{Eu}^{2+}$ ,  $(\text{Ca,Sr,Ba})_5(\text{PO}_4)_3\text{Cl}:\text{Eu}^{2+},\text{Gd}^{2+}$ .

With regard to claim 23,

Sakano et al. disclose in paragraph 92 a super high conductivity material of the contact layer comprises strained layer superlattice (SLS) material. The obviousness of incorporating the LED chip of Sakano et al. into the LED of Maeda et al. was addressed in the rejection of claim 17.

With regard to claim 24,

Sakano et al. disclose in paragraphs 91-96 a conductive type of the contact layer and a conductive type of the second confinement layer are different. The obviousness of incorporating the LED chip of Sakano et al. into the LED of Maeda et al. was addressed in the rejection of claim 17.

With regard to claim 25,

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Sakano et al. disclose in paragraphs 91-96, a conductive type of the contact layer and a conductive type of the anode electrode are different. The obviousness of incorporating the LED chip of Sakano et al. into the LED of Maeda et al. was addressed in the rejection of claim 17.

With regard to claim 28,

Sakano et al. disclose in paragraph 92 the substrate is comprised aluminum oxide, sapphire, silicon carbide (SiC), zinc oxide (ZnO), silicon substrate, gallium phosphide (GaP) or gallium arsenide (GaAs). The obviousness of incorporating the LED chip of Sakano et al. into the LED of Maeda et al. was addressed in the rejection of claim 17.

With regard to claim 29,

Sakano et al. disclose in paragraph 277 the light emitting layer comprises a doped III-V compound semiconductor quantum well structure. The obviousness of incorporating the LED chip of Sakano et al. into the LED of Maeda et al. was addressed in the rejection of claim 17.

With regard to claim 30,

Sakano et al. disclose in paragraph 253 the quantum well structure comprises doped III-V compound semiconductor comprising  $\text{Al}_a\text{In}_b\text{Ga}_{1-a-b}\text{N}/\text{Al}_x\text{In}_y\text{Ga}_{1-x-y}\text{N}$ , wherein  $a, b \geq 0$ ;  $0 \leq a+b < 1$ ;  $x, y \geq 0$ ;  $0 \leq x+y < 1$ ;  $x > c > a$ . The obviousness of incorporating the LED chip of Sakano et al. into the LED of Maeda et al. was addressed in the rejection of claim 17.

8. Claims 26,27,31 are rejected under 35 U.S.C. 103(a) as being unpatentable over Sakano et al. and Maeda et al. as applied to claim 17 above, and further in view of Kuo et al. (USPN 2002/0096687).

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With regard to claim 26,

Sakano discloses the LED chip of claim 17.

Sakano does not describe the anode in detail.

Kuo et al. do disclose an anode electrode comprising a conventional metal used in a semiconductor process and a multi-layer structure composed of a mixture of the conventional metal, wherein a total thickness of the anode electrode is equal to or less than 0.1  $\mu\text{m}$  (paragraph 33), providing greater light emission.

It would have been obvious to one of ordinary skill in the art at the time of the invention to incorporate the anode of Kuo et al. into the device of Sakano in order to provide greater light emission. The obviousness of incorporating the LED chip of Sakano into the white LED of Maeda et al. was addressed in the rejection of claim 17.

With regard to claim 27,

Sakano et al. disclose the LED chip.

Sakano does not describe the anode in detail.

Kuo et al do disclose in paragraph 33 the anode electrode comprising a transparent conductive oxide (TCO), wherein the TCO comprises a N-type conductive material comprising indium tin oxide (ITO), cadmium tin oxide (CTO),  $\text{ZnO:Al}$ ,  $\text{ZnO:In}$ ,  $\text{ZnO:Ga}$ ,  $\text{ZnGa}_2\text{O}_4$ ,  $\text{SnO}_2\text{:Sb}$ ,  $\text{Ga}_2\text{O}_3\text{:Sn}$ ,  $\text{AgInO}_2\text{:Sn}$  and  $\text{In}_2\text{O}_3\text{:Zn}$ , or a P-type conductive material comprising  $\text{CuAlO}_2$ ,  $\text{LaCuOS}$ ,  $\text{NiO}$ ,  $\text{CuGaO}_2$  and  $\text{SrCu}_2\text{O}_2$ , providing greater light emission.

It would have been obvious to one of ordinary skill in the art at the time of the invention to incorporate the anode of Kuo et al. into the device of Sakano in order to provide greater light

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emission. The obviousness of incorporating the LED chip of Sakano into the white LED of Maeda et al. was addressed in the rejection of claim 17.

With regard to claim 31,

Sakano et al. disclose the LED chip of claim 17.

Sakano et al. do not describe the cathode in detail.

Kuo et al. do disclose in paragraph 28 an LED wherein the cathode electrode comprises Cr/Au, Cr/Pt/Au, Cr/WSiN/Au, WSi<sub>x</sub>/Au, Ti/Si<sub>x</sub>/Au, Ti/Au, Ti/WSi<sub>x</sub>/Au, Ti/Al/Cr/Au, Ti/Al/Co/Au, Cr/Al/Cr/Au, Cr/Al/Pt/Au, Cr/Al/Pd/Au, Cr/Al/Ti/Au, Cr/Al/Co/Au, Cr/Al/Ni/Au, Pd/Al/Ti/Au, Pd/Al/Pt/Au, Pd/Al/Ni/Au, Pd/Al/Pd/Au, Pd/Al/Cr/Au, Pd/Al/Co/Au, Nd/Al/Pt/Au, Nd/Al/Ti/Au, Nd/Al/Ni/Au, Nd/Al/Cr/Au, Nd/Al/Co/A, Hf/Al/Ti/Au, Hf/Al/Pt/Au, Hf/Al/Ni/Au, Hf/Al/Pd/Au, Hf/Al/Cr/Au, Hf/Al/Co/Au, Zr/Al/Ti/Au, Zr/Al/Pt/Au, Zr/Al/Ni/Au, Zr/Al/Pd/Au, Zr/Al/Cr/Au, Zr/Al/Co/Au, TiN<sub>x</sub>/Ti/Au, TiN<sub>x</sub>/Pt/Au, TiN<sub>x</sub>/Ni/Au, TiN<sub>x</sub>/Pd/Au, TiN<sub>x</sub>/Cr/Au, TiN<sub>x</sub>/Co/Au, TiWN<sub>x</sub>/Ti/Au, TiWN<sub>x</sub>/Pt/Au, TiWN<sub>x</sub>/Ni/Au, TiWN<sub>x</sub>/Pd/Au, TiWN<sub>x</sub>/Cr/Au, TiWN<sub>x</sub>/Co/Au, NiAl/Pt/Au, NiAl/Cr/Au, NiAl/Ni/Au, NiAl/Ti/Au, Ti/NiAl/Pt/Au, Ti/NiAl/Ti/Au, Ti/NiAl/Ni/Au or Ti/NiAl/Cr/Au, providing smaller contact resistance.

It would have been obvious to one of ordinary skill in the art at the time of the invention to incorporate the cathode of Kuo et al. into the device of Sakano et al. in order to provide smaller contact resistance. The obviousness of incorporating the LED chip of Sakano into the white LED of Maeda et al. was addressed in the rejection of claim 17.

### ***Claim Rejections - 35 USC § 112***

9. The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

Art Unit: 2879

Claims 4,14,20 recite the limitations "x" and "y". There is insufficient antecedent basis for this limitation in the claim.

Claims 5,15,21 recite the limitation "Me". There is insufficient antecedent basis for this limitation in the claim.

Claims 6,16,22 recite the limitation "Re". There is insufficient antecedent basis for this limitation in the claim.

### ***Conclusion***

10. Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Christopher M. Raabe whose telephone number is 571-272-8434. The examiner can normally be reached on m-f 7am-3:30pm.

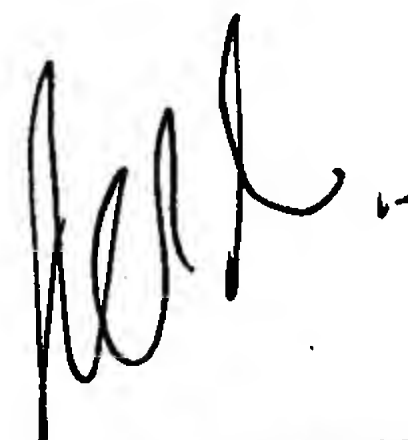
Art Unit: 2879

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Nimesh Patel can be reached on 571-272-2457. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.



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